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PTO/SB/21 (02-04)  
Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/801,758	
	Filing Date	03-16-2004	
	First Named Inventor	Nigam et al.	
	Art Unit	2142	
	Examiner Name		
Total Number of Pages in This Submission	6+	Attorney Docket Number	BL055-GN016

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Ryan L. Willis, Reg. No. 48,787 Taft, Stettinius & Hollister LLP	
Signature		
Date	06-10-2004	

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(Name)

Sharon A. Shelton  
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PATENT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### Application of:

Applicant : Nigam et al.  
Serial No. : 10/801,758  
Filed On : March 16, 2004  
Title : METHOD FOR DEVELOPING A CLASSIFIER FOR CLASSIFYING COMMUNICATIONS  
Docket No. : BL055-GN016  
Examiner :  
Art Unit : 2142

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313

Dear Sir:

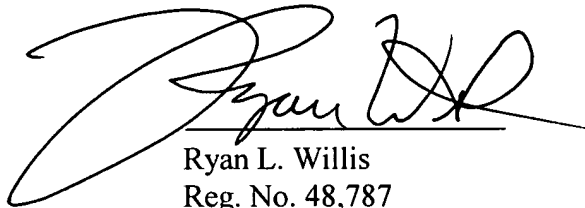
### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, the Examiner's attention is directed to the references listed on the attached Form PTO-SB-08A. A copy of each of the non patent listed references is provided.

**Information Disclosure Statement**  
**BL055-GN016**  
**Page 2**

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Respectfully submitted,



Ryan L. Willis  
Reg. No. 48,787

030074  
TAFT, STETTINIUS & HOLLISTER LLP  
425 Walnut Street, Suite 1800  
Cincinnati, OH 45202-3957  
(513) 357-9663  
willis@taftlaw.com

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	2
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**Complete if Known**

Application Number	10/801,758
Filing Date	03-16-04
First Named Inventor	Nigam et al.
Art Unit	2142
Examiner Name	
Attorney Docket Number	BL055-GN016

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/801,758		
		Filing Date	03-16-2004		
		First Named Inventor	Nigam et al		
		Art Unit	2142		
Sheet	2	of	2	Examiner Name	
				Attorney Docket Number	BL055-GN016

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		COHN ET AL., Active Learning with Statistical Models, Journal of Artificial Intelligence Research 4 (1996), 129-145, AI Access Foundation and Morgan Kaufmann Publishers, USA	
		FREUND ET AL., Selective Sampling Using the Query by Committee Algorithm, Machine Learning 28 (1997), 133-168, Kluwer Academic Publishers, The Netherlands	
		McCALLUM ET AL., Text Classification by Bootstrapping with the Keywords, EM and Shrinkage, Just Research and Carnegie Mellon U., circa 1999, Pittsburgh, PA, USA	

Examiner Signature		Date Considered	
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